

# **Illinois State Board of Education**

# Permanent Regulations for the Use of Isolated Time Out, Time Out, and Physical Restraint Revised Guidance and Frequently Asked Questions

This document is intended to provide non-regulatory guidance on the subject matter listed above. For specific questions, please contact the Illinois State Board of Education.

Dr. Carmen I. Ayala, State Superintendent

May 2022

# Permanent Regulations for the Use of Isolated Time Out, Time Out, and Physical Restraint Revised Guidance and Frequently Asked Questions

# **Table of Contents**

	<u>Introduction</u>	Page 7
A.	<u>Definitions</u>	
A-1.	What is "time out"?	Page 9
A-2	What is an "isolated time out"?	
A-3.	What is "physical restraint"?	Page 10
A-4 A-5	Does physical restraint include physical escort when removing a student from a classroom or other school setting?  What is "prone physical restraint"?	
A6.	What is "supine physical restraint"?	Page 11
A-7.	What is "imminent danger of serious physical harm to self"?	
A-8	What is "imminent danger of serious physical harm to staff"?	
A-9.	What is "imminent danger of serious physical harm to others"?	
A-10.	What is a "mechanical restraint"?	
A-11.	What is a "chemical restraint"?	Page 12
В.	Framework of Supports for Students	
B-1.	What behavioral supports should be available to contribute to a safe school environment for all students?	Page 13
B-2.	How should IEP teams document positive behavioral supports in the IEP and Behavioral Intervention Plan for a student eligible for special education services?	

B-3.	How should Section 504 teams document behavioral supports in the Section 504 Plan for an eligible student?	Page 14
C.	Time Out and Isolated Time Out	
C-1.	What are the requirements for a space that is used for the time out or isolated time out of a student?	
C-2.	Is in-school suspension or detention considered a time out or isolated time out?	Page 15
C-3.	When should isolated time out and time out NOT be used?	
C-4.	When is the use of isolated time out and time out allowed?	Page 16
C-5.	Is the use of a separate, quiet environment allowed for a student considered a time out or isolated time out?	
C-6.	Is a student-requested opportunity to go to a different location in the school to self-regulate or seek staff support considered a time out or isolated time out?	Page 17
C-7.	Is evacuating other students from a classroom when one student presents a risk of harm to self or others considered a time out or isolated time out?	
C-8.	Who is responsible for ensuring that time out settings are compliant with the regulations relative to the physical space and the parameters pertaining to their use?	Page 18
C-9.	What are the requirements for the supervision of a student in time out and isolated time out?	
C-10.	When should a time out or isolated time out end?	Page 19
C-11.	What options are available to a district or other serving entity if a student needs to go to a time out setting for the safety of the student and/or others but refuses to go?	
C-12.	If a student must go to a time out setting, are there specific credentials or training requirements for staff who supervises the student?	Page 20
D.	Physical Restraints	
D-1.	When can a physical restraint be used?	
D-2.	How should physical restraints be conducted in a safe manner?	
D-3.	When should physical restraint NOT be used?	Page 21
D-4.	What are the requirements for school personnel who participate in the use of physical restraint?	
D-5.	What communication factors must be considered when a student who is deaf or hard of hearing or who uses a high- or low-tech augmentative communication device is physically restrained?	Page 22

D-6.	What are the parameters for use of safety restraints (e.g., harnesses) on school buses? What measures are appropriate on the school bus if a student poses a safety threat to self or others in that setting?	Page 23
D-7.	Are weighted vests, wraps, blankets, or handheld beanbags/lightweight sandbags considered to be physical restraint or restrictive interventions?	Page 24
D-8.	Is the use of blocking pads allowed?	
D-9.	If a student is careless or intentionally aggressive with or has difficulty controlling a wheelchair, rollator walker, forearm crutch/cane, or other mobility device, is it considered physical restraint if the device is prevented from moving or temporarily taken away?	
D-10.	Is the use of prone physical restraint allowed in Illinois?	Page 25
D-11.	Is the use of supine physical restraint allowed in Illinois?	Page 26
D-12.	What is a Supine Restraint Review and who shall conduct the review?	Page 27
D-13.	How should a school district or serving entity determine if the use of supine physical restraint is medically or psychologically contraindicated?	Page 28
D-14.	How should a school district or serving entity address a situation in which physical restraint is contraindicated but the student engages in behavior that poses an imminent danger of causing physical harm to self or others?	
E.	Required Training	
E-1.	What are the training requirements for an adult who is supervising a student in isolated time out or time out or who is involved in a physical restraint?	Page 29
E-2.	What are the training requirements for school resource officers who may be involved with an isolated time out, time out, or physical restraint?	Page 30
E-3.	Is there a grace period for individuals to be trained annually?	
E-4.	In what format(s) must the above training be provided?	
E-5.	What are the content requirements for training in the use of isolated time out, time out, and physical restraints?	Page 31
E-6.	Who can provide the required training?	Page 32
F.	Required Administrative Policy and Procedures on Use of Isolated Time Out, Time Out, and Physical Restraints	
F-1.	Must each school district and other serving entities develop and maintain a policy that incorporates procedures on the use of time out and physical restraint?	
F-2.	Must parents be notified of a district's or other serving entity's policy for the use of isolated time out, time out, or physical restraint?	Page 33
F-3.	How should an isolated time out, time out, or physical restraint event be documented?	

F-4.		
Γ-4.	Who should be notified of the documentation and report of the isolated time out, time out, or physical restraint and in what manner?	Page 34
F-5.	Are there additional documentation requirements if there is an injury during a physical restraint or time out?	Page 35
F-6.	Does the documentation of an incident of isolated time out, time out, or physical restraint need to include the position title and names of specific personnel who participated in implementing, monitoring, and supervising the incident?	Page 36
F-7.	When must staff reassess the need for a time out or isolated time out?	
F-8.	When must staff conduct an evaluation for safe continuation of isolated time out or time out? Who can conduct the evaluation?	
F-9.	When must an evaluation be conducted for a physical restraint? Who can conduct the evaluation for safe continuation?	Page 37
F-10.	What are the requirements when a student experiences isolated time out, time out, or physical restraint on three days within a 30-day period?	
F-11	Is there a requirement if student with an IEP experiences isolated time out, time out, or physical restraint on three days within a 30-day period to have a review meeting?	Page 38
G.	Parent/Guardian Required Notifications and Meetings	
G-1.	When must a parent/guardian be notified of an event of isolated time out, time out, or physical restraint?	
G-2.	What opportunities do parents have to discuss the incident of physical	
	restraint, time out, or isolated time out with school staff?	
G-3.	restraint, time out, or isolated time out with school staff?  Who must be present at a meeting, pursuant to 105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20, to discuss an incident of isolated time out, time out, or physical restraint?	Page 40
G-3. G-4.	Who must be present at a meeting, pursuant to 105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20, to discuss an incident of isolated time out, time out,	Page 40
	Who must be present at a meeting, pursuant to 105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20, to discuss an incident of isolated time out, time out, or physical restraint?  What should be provided to parents in the event of an isolated time out,	Page 40
G-4.	Who must be present at a meeting, pursuant to 105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20, to discuss an incident of isolated time out, time out, or physical restraint?  What should be provided to parents in the event of an isolated time out, time out, and physical restraint?  What must be provided to parents/guardians when a student experiences isolated time out, time out, or physical restraint on three	Page 40
G-4. G-5.	Who must be present at a meeting, pursuant to 105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20, to discuss an incident of isolated time out, time out, or physical restraint?  What should be provided to parents in the event of an isolated time out, time out, and physical restraint?  What must be provided to parents/guardians when a student experiences isolated time out, time out, or physical restraint on three days within a 30-day period?	Page 40
G-4. G-5. <b>H.</b>	Who must be present at a meeting, pursuant to 105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20, to discuss an incident of isolated time out, time out, or physical restraint?  What should be provided to parents in the event of an isolated time out, time out, and physical restraint?  What must be provided to parents/guardians when a student experiences isolated time out, time out, or physical restraint on three days within a 30-day period?  Additional Questions of Importance  Do the regulations also apply to special education cooperatives and ISBE-	

H-4.	What are the roles of crisis intervention personnel, school security or safety officers, and first responders in situations involving the use of isolated time out, time out, or physical restraint?	
H-5.	When is documentation needed when a student is restrained by a school resource officer?	Page 43
H-6.	If a student is likely to pose a safety risk to self or others while in settings outside the regular learning environment, how can school staff plan appropriately?	
H-7.	What are the requirements if a school district or other serving entity wants to implement a new physical restraint model?	Page 44
H-8.	If a parent, staff member, or other stakeholder has a concern about the use of isolated time out, time out, or physical restraint in a school district or other serving entity, to whom should the complaint be directed?	
H-9.	Who may file a complaint about isolated time out, time out, or physical restraint?	
H-10.	How will a complaint be processed?	Page 45
I.	Restraint and Time Out Reduction Plans	
I-1.	What entities are required to create a physical restraint, isolated time out and time out oversight team and create a Restraint and Time Out (RTO) Reduction Plan?	Page 47
I-2.	Does the district need to include students who are placed in special education cooperatives and nonpublic special education facilities?	
I-3.	Are all school districts required to submit a plan?	
I-4.	Per 105 ILCS 5/2-3.130(e), what required information must be contained in an RTO Reduction Plan?	
I-5.	Must a school district still create and submit an RTO Reduction Plan if it does not have students who have 5-plus instances of RTO in a 30-day period?	Page 48
I-6.	Per 105 ILCS 5/2-3.130(e), who must be on the RTO oversight team that is responsible for creating the RTO Reduction Plan?	
I-7.	What is the suggested process for RTO Reduction Plan development?	
I-8.	What is the date that school districts must submit their RTO Reduction Plan?	
J.	References and Resources	Page 49
к.	Glossary	Page 51

# Introduction

The Illinois State Board of Education (ISBE) has the responsibility to inform school districts, special education cooperatives, and nonpublic special education facilities on the implementation of policies designed to ensure school wellness through advisory supports in education, health, and social-emotional well-being so our children have the opportunity to become whole, healthy, and educated adults. These supports, particularly in the area of social-emotional well-being, provide a process for children to acquire the knowledge, attitudes, and skills they need to:

- Recognize and manage their emotions.
- Demonstrate caring and concern for others.
- Establish positive relationships.
- Make responsible decisions.
- Handle challenging situations constructively.

Despite supports, problem behaviors can still occur. The response of a teacher, administrator, or other school staff to behavior that has the potential to threaten the well-being of a student or an adult is of paramount importance. Physical restraints and time outs, as defined in the School Code and administrative rules, must be interventions of the last resort and applied judiciously in the rarest of situations. Physically restraining or placing a student in time out can carry many risks to the student's physical well-being, emotional health, self-image, and reputation in the school community. Use of these interventions can also erode trust among stakeholders in the student's education and inhibit the student from being educated in a safe and healthy learning environment. There are rare incidents in which it may be necessary to restrict a student's movements to ensure the safety of the student or others. State laws and federal guidance provide parameters for the use of time out and physical restraints when circumstances warrant.

The Illinois Administrative Code (updated April 2022) [23 IAC 1.285] states the following:

"Isolated time out, time out, and physical restraint, as defined in this Section, shall be used only when the student's behavior presents an imminent danger of serious physical harm to the student or others, and other less restrictive and intrusive measures have been tried and proven ineffective in stopping the imminent danger of serious physical harm, there is no known medical contraindication to its use on the student, and the school staff members or members applying the intervention have been trained in its safe application under this Section. (Section 10-20.33(b) or 34-18.20(b) of the School Code). Isolated time out, time out, or physical restraint shall not be used as discipline or punishment, convenience for staff, retaliation, a substitute for appropriate educational or behavioral support, a routine safety matter, or to prevent property damage in the absence of imminent danger of serious physical harm to the student or others."

Public Act 102-0339 was signed into law August 13, 2021. It amends the Illinois School Code and specifies that the use of isolated time out, time out, and physical restraint will be limited to instances in which the student's behavior poses an "imminent danger of serious physical harm." Public Act 102-0339 further amended School Code 105 ILCS 5/2-3.130, 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20, requiring ISBE, in collaboration with stakeholders to establish goals and benchmarks to accomplish the systemic reduction of isolated time out, time out, and physical restraint use. Furthermore, school boards must create an oversight team to develop and submit a Restraint and Time Out (RTO) Reduction Plan, as well as submit a progress report for three years thereafter. Additional components of Public Act 102-0339, which will be established by July 1, 2022, include the provision of standards for the use of isolated time out, time out, and physical restraints; specify training components; provision of additional notifications/resources to parents; and elimination of the use of prone restraints by June 30, 2022. ISBE shall submit a progress report to the General Assembly on or before June 20, 2023, to indicate the progress made by schools to achieve the goals and benchmarks that have been developed.

These regulations are the basis for this guidance document, which refers primarily to the use of isolated time out, time out, or physical restraint for the purpose of maintaining a safe environment for learning. ISBE regulations frame isolated time out, time out, or physical restraint as interventions that are to be used in tandem with other positive intervention strategies.

This guidance is published for informational purposes only and is not intended to be used as a substitute for legal advice. Please consult an attorney for legal advice or for a legal opinion on a specific matter.

# A. Definitions

# Question A-1: What is "time out"?

### Answer:

"Time out" means a behavior management technique for the purpose of calming or de-escalation that involves the involuntary monitored separation of a student from classmates with a trained adult for part of the school day, only for a brief time, in a non-locked setting. [23 IAC 1.285(a)(2), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

Time out does not include a student-initiated or student-requested break; a student-initiated or teacher-initiated sensory break, including a sensory room containing sensory tools to assist a student to calm and de-escalate; an in-school suspension or detention; or any other appropriate disciplinary measure, including a student's brief removal to the hallway or similar environment. [23 IAC 1.285(a)(3), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

# Question A-2: What is an "isolated time out"?

### Answer:

"Isolated time out" means the involuntary confinement of a student alone in a time out room or other enclosure outside the classroom without a supervising adult in the time out room or enclosure. Isolated time out is allowed only under limited circumstances. If all other requirements specified in Section 1.285 are met, isolated time out may be used only when the adult in the time out room or enclosure is in imminent danger of serious physical harm because the student is unable to cease actively engaging in extreme physical aggression. [23 IAC 1.285(a)(1), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

Isolated time out does not include a student-initiated or student-requested break; a student-initiated or teacher-initiated sensory break, including a sensory room containing sensory tools to assist a student to calm and de-escalate; an in-school suspension or detention; or any other appropriate disciplinary measure, including a student's brief removal to the hallway or similar environment. [23 IAC 1.285(a)(3), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

# Question A-3: What is "physical restraint"?

### Answer:

"Physical restraint" or "restraint" means holding a student or otherwise restricting the student's movements and includes only the use of specific, planned techniques. [23 IAC 1.285(b), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

Physical restraint or restraint does not include momentary periods of physical restriction by direct person-to-person contact without the aid of material or mechanical devices that are accomplished with limited force and that are designed to prevent a student from completing an act that would result in potential physical harm to himself, herself, or another person or damage to property.

[105 | ILCS 5/10-20.33 | and 105 | ILCS 5/34-18.20]

"Momentary periods of physical restriction" are considered physical escorts under the definition provided by the U.S. Department of Education. Physical escort means a temporary touching or holding of the hand, wrist, arm, shoulder, or back for the purpose of inducing a student who is acting out to walk to a safe location. [ <u>U.S.</u> Dept. of Ed. Restraint and Seclusion: Resource Document, pg. 10]\*

\*A physical escort that meets the U.S. Department of Education definition of physical escort is not considered a physical restraint.

# Question A-4: Does physical restraint include physical escort when removing a student from a classroom or other school setting?

### Answer:

Possibly, depending on the specific situation. Temporarily touching or holding of the hand, wrist, arm, shoulder or back for the purpose of inducing a student who is acting out to walk to a safe location is not a physical restraint. However, a forcible removal of a student from a classroom or other school setting may constitute or evolve into a physical restraint if more than limited force is needed to do so.

# Question A-5: What is "prone physical restraint"?

### Answer:

"Prone physical restraint" means a physical restraint in which a student is held face down on the floor or other surface and physical pressure is applied to the student's body to keep the student in the prone position. [23 IAC 1.285(d)(5), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

# Question A-6: What is "supine physical restraint"?

Answer:

"Supine physical restraint" means a physical restraint in which a student is held face up on the floor or other surface and physical pressure is applied to the student's body to keep the student in the supine position. [23 IAC 1.285(d)(6)]

# Question A-7: What is "imminent danger of serious physical harm to self"?

Answer:

"Imminent danger of serious physical harm to self" means a situation where a student presents a danger to the safety and well-being of himself or herself and is likely to cause physical pain or injury.

# Question A-8: What is "imminent danger of serious physical harm to staff"?

Answer:

"Imminent danger of serious physical harm to staff" means a situation where a student presents a danger to the safety and well-being of school personnel and is likely to cause physical pain or injury.

# Question A-9: What is "imminent danger of serious physical harm to others"?

Answer:

"Imminent danger of serious physical harm to others" means a situation where a student presents a danger to the safety and well-being of others and is likely to cause physical pain or injury.

# Question A-10: What is a "mechanical restraint"?

**Answer:** Mechanical restraints are **prohibited** by regulations.

"Mechanical restraint" means the use of any device or equipment to limit a student's movement or hold a student immobile. [23 IAC 1.285(d)(12), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

Mechanical restraint does not include any restraint used to:

- A) Treat a student's medical needs;
- B) Protect a student known to be at risk of injury resulting from lack of coordination or frequent loss of consciousness;

- Position a student with physical disabilities in a manner specified in the student's Individualized Education Program (IEP), federal Section 504 Plan, or other plan of care;
- Provide a supplementary aid or service or an accommodation, including, but not limited to, assistive technology that provides proprioceptive input or aids in self-regulation; or
- E) Promote student safety in vehicles used to transport students." [23 IAC 1.285(d)(12)]

Under this definition, the use of equipment, such as a blocking pad, in a protective manner to safeguard an individual is *not* construed as a mechanical restraint. However, the use of a blocking pad, mat, or other device or equipment to restrict a student's movement during a restraint *is* considered mechanical restraint and is prohibited.

See Question D-8 for more information about the use of blocking pads.

# Question A-11: What is a "chemical restraint"?

**Answer:** Chemical restraints are **prohibited** by regulations.

"Chemical restraint" means the use of medication to control a student's behavior or restrict a student's freedom of movement. [23 IAC 1.285(d)(11), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

Chemical restraint does not include medication that is legally prescribed and administered as part of a student's regular medical regimen to manage behavioral symptoms and treat medical symptoms. [ $\underline{23\ IAC\ 1.285(d)(11)}$ ,  $\underline{105\ ILCS\ 5/10-20.33}$ , and  $\underline{105\ ILCS\ 5/34-18.20}$ ]

# **B. Framework of Supports for Students**

# Question B-1: What behavioral supports should be available to contribute to a safe school environment for all students?

### Answer:

School district Restraint and Time Out (RTO) Reduction Plans and behavioral interventions must prioritize strategies to avoid the use of isolated time out, time out, and physical restraint with students whenever possible. Most student behaviors that do not contribute to a safe learning environment can be addressed via a school's social-emotional learning; Positive Behavior Intervention and Supports; anti-bullying and anti-harassment strategies; restorative justice frameworks; and/or other local district policy, procedures, and programs.

# Question B-2: How should IEP teams document positive behavior supports in the IEP and Behavioral Intervention Plan for a student receiving special education services?

### Answer:

An IEP and/or a Behavioral Intervention Plan (BIP), where appropriate, offer several opportunities to document positive behavior supports customized for a student with a disability who is receiving special education services. The Present Levels of Academic Achievement and Functional Performance section of the IEP, goals, supplementary aids and services, special education and related services, IEP notes, Functional Behavioral Assessment (FBA), and a BIP are all potential sections for IEP teams to describe the positive interventions that have been attempted and their effectiveness. The Consideration of Special Factors section of the IEP allows the IEP team to explain whether behaviors will be addressed via a BIP, goals, accommodations, and/or services. The Accommodations and Goals sections of the IEP and the BIP provide opportunities to describe details, such as target behaviors, replacement behaviors, positive interventions, and motivators/rewards. Parent input should be considered when developing behavioral interventions to facilitate consistency between the home and school settings, when appropriate. This may be captured under the Parent Concerns subsection of the IEP, in the FBA and BIP, or within the IEP Notes section.

Students' IEPs and BIPs should articulate specific positive behavior strategies to be employed and should not rely on isolated time out, time out, and/or physical restraint as ongoing behavioral interventions. School teams should use less restrictive and intrusive measures prior to attempting time out, isolated time out, and/or physical restraint. Isolated time out, time out, and/or physical restraint may be included in a student's IEP and BIP as required for an individual student due to student-specific safety concerns.

# Question B-3: How should Section 504 teams document behavioral supports in the Section 504 Plan for an eligible student?

Answer:

The process should be similar for students who are eligible for services, supports, and accommodations under Section 504 and have disability-related behaviors that substantially limit a major life activity or would do so without such services, supports, and/or accommodations.

# C. Time Out and Isolated Time Out

# Question C-1: What are the requirements for a space that is used for the time out or isolated time out of a student?

**Answer:** Per 23 IAC 1.285(a)(4), the use of a physical space for time out shall be subject to the following requirements:

- 4) Any enclosure used for isolated time out or time out shall:
  - A) Meet all of the health/life safety requirements of 23 IAC 180;
  - B) Have the same ceiling height as the surrounding room or rooms and be large enough to accommodate not only the student but also, if applicable, another individual who is required to accompany that student under 23 IAC 1.285;
  - C) Be constructed of materials that cannot be used by students to harm themselves or others; be free of electrical outlets, exposed wiring, and other objects that could be used by students to harm themselves or others; and be designed so that students cannot climb up the walls;
  - D) Be designed to permit continuous visual monitoring of and communication with the student; and
  - E) If fitted with a door, be fitted with either a steel door or a wooden door of solid-core construction. If the door includes a viewing panel, the panel shall be unbreakable. The door shall not be fitted with a locking mechanism or be physically blocked by furniture or any other inanimate object at any time during the isolated time out or time out.

Per 105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20, the use of any of the following rooms or enclosures for an isolated time out or time out purposes is prohibited:

- (1) A locked room or a room in which the door is obstructed, prohibiting it from opening;
- (2) A confining space such as a closet or box;
- (3) A room where the student cannot be continually observed; or
- (4) Any other room or enclosure or time out procedure that is contrary to current rules adopted by the State Board of Education. [105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20]

The doors of time out rooms that are contained within a classroom or other larger room or space must not be fitted with a locking mechanism of any sort. However, the classroom or other larger room can be capable of locking and may be locked in a manner consistent with the school building's safety and security protocols. The door of the time out room cannot be physically blocked by furniture or any other inanimate object at any time during the isolated time out or time out.

# Question C-2: Is in-school suspension or detention considered a time out or isolated time out?

### **Answer:**

No. In-school suspensions, detentions, and other appropriate disciplinary measures, including the student's brief removal to the hallway or similar environment, are a part of a schoolwide disciplinary policy to address infractions of the school or district code of conduct, usually involving supervised periods within a designated room in the school setting. [23 IAC 1.285(a)(3), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

# Question C-3: When should isolated time out and time out NOT be used?

### Answer:

"Isolated time out, time out, or physical restraint shall not be used as discipline or punishment, convenience for staff, retaliation, a substitute for appropriate educational or behavioral support, a routine safety matter, or to prevent property damage in the absence of imminent danger of serious physical harm to the student or others." [23 IAC 1.285, 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

# Question C-4: When is the use of isolated time out and time out allowed?

# Answer:

Isolated time out may be used when the adult in the time out room or enclosure is in imminent danger of serious physical harm because the student is unable to cease actively engaging in extreme physical aggression. This can occur only after other less restrictive and intrusive measures have been tried and proven ineffective in stopping the imminent danger of serious physical harm and all other requirements described in the regulations have been met. [23 IAC 1.285, 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]

Time out may be used only when the student's behavior presents an imminent danger of serious physical harm to the student or others, less restrictive and intrusive measures have been tried and proven ineffective in stopping the imminent danger or serious physical harm, and all other requirements described in the regulations have been met.

See the responses to <u>Questions F-3 to F-8</u> for documentation requirements. See the responses to <u>Questions C-9, C-10, and C-12</u> for supervision requirements. See the responses in <u>Section E</u> for training requirements.

# Question C-5: Is the use of a separate, quiet environment allowed for a student considered a time out or isolated time out?

### **Answer:**

Isolated time out and time out do not include a student-initiated or student-requested break; a student-initiated sensory break; or a teacher-initiated sensory break that may include a sensory room containing sensory tools to assist a student to calm and de-escalate; an in-school suspension or detention; or any other appropriate disciplinary measure, including a student's brief removal to the hallway or similar environment. [23 IAC 1.285(a)(3), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20]. For purposes of initiation of breaks, "teacher" is defined as any or all school district or serving entity employees regularly required to be licensed under laws relating to the licensure of teachers. The use of a separate, quiet environment is allowed for any student if it is medically safe for the student and the space meets all of the health/life safety requirements of 23 IAC Part 180. It may be used for a student with a disability unless inconsistent with the IEP or Section 504 Plan. Such use will not be considered a time out under the regulations. [105 ILCS 5/21B-75(a)]

Other interventions that are not considered a time out or isolated time out can include, but are not limited to, the use of study carrels or other similar, stable, and

non-enclosed partitions within the classroom; other classrooms or areas in the school that are designed for student work and study with fewer auditory and other distractions; and similar interventions (either at staff direction or upon student request). These are not considered a time out under the regulations, so no report of isolated time out, time out, or physical restraint is required.

IEP teams should also consider that frequent separation of the student from his or her peers and classroom instruction may signal a need to reevaluate the student's needs and consider the impact on the current programming and provision of free and appropriate public education in the least restrictive environment for the student.

# Question C-6: Is a student-requested opportunity to go to a different location in the school to self-regulate or seek staff support considered a time out or isolated time out?

# Answer:

No. Interventions that will not be considered a time out include, but are not limited to, sensory breaks, calming breaks, the use of study carrels or other non-enclosed partitions within the classroom, other classrooms or areas in the school that are designed for student work and study with fewer auditory and other distractions, and similar interventions (either at staff direction or upon student request). These are not considered a time out under the regulations, so no report to ISBE is required.

# Question C-7: Is evacuating other students from a classroom when one student presents a risk of harm to self or others considered a time out or isolated time out?

### Answer:

No. It would not be considered a time out or isolated time out when a student engages in conduct that poses a risk of harm to self or others and school personnel evacuate the classroom while staff work with the student to help de-escalate the student's conduct, transport the student out of the classroom to another setting for this purpose, or await emergency responders.

# Question C-8: Who is responsible for ensuring that time out settings are compliant with the regulations relative to the physical space and the parameters pertaining to their use?

### Answer:

The school district or other serving entity is ultimately responsible for ensuring compliance regarding the physical spaces used for time out and isolated time out and the use of those spaces. The Regional Office of Education, Illinois Department of Public Health, Illinois Department of Children and Family Services, ISBE, or other public agencies may also become involved as a follow-up to routine building inspections or in the event a specific complaint has been filed about time out spaces or their use.

General requirements for health and life-safety in schools can be found at <a href="https://www.isbe.net/Pages/Health-and-Life-Safety.aspx">https://www.isbe.net/Pages/Health-and-Life-Safety.aspx</a>.

# Question C-9: What are the requirements for the supervision of a student in time out and isolated time out?

# **Answer:**

The regulations provide that the use of time out and isolated time out shall be subject to the following requirements for supervision, per  $\frac{23 \text{ IAC } 1.285(a)(5-7)}{a}$ :

- (5) For an isolated time out, an adult who is responsible for supervising the student must remain within two feet of the enclosure. The supervising staff member must always be able to see, hear, and communicate with the student. The door shall not be locked or held to block egress. A student in isolated time out shall not be supervised using cameras, audio recording, or any other electronic monitoring device.
- (6) For time out, an adult trained under 23 IAC 1.285(i) who is responsible for supervising the student must remain in the same room as the student at all times during the time out.
- (7) A student placed in isolated time out or time out must have reasonable access to food, water, medications, and toileting facilities. [105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20]. The deprivation of necessities needed to sustain the health of a person is prohibited. Except in circumstances in which there is a risk of self-injury or injury to staff or others, a student in isolated time out or time out shall not have clothing removed, including, but not limited to, shoes, shoelaces, boots, or belts.

# Question C-10: When should a time out or isolated time out end?

### Answer:

Time limitations on time outs are set forth at 23 IAC 1.285(e)(1), which specifies, "A student shall be released from isolated time out or time out immediately upon determination by the staff member that the student is no longer an imminent danger of serious physical harm to the student or others. No less than once every 15 minutes, an adult trained under 23 IAC 1.285(e)(1) must assess whether the student has ceased presenting the specific behavior for which the isolated time out or time out was imposed."

# Question C-11: What options are available to a district or other serving entity if a student needs to go to a time out setting for the safety of the student and/or others but refuses to go?

### **Answer:**

Educators must consider that repeated instances of time outs and difficulty getting the student to go willingly to that setting generally signal a need to reconsider behavior strategies for that student. Public Act 102-0339 amended the school code to remove the language that allowed a momentary period of physical restriction designed to remove a disruptive student who is unwilling to leave the area. [105 ILCS 5/10-20.30] and 105 ILCS 5/34-18.20]

Physical restraint or restraint does not include momentary periods of physical restriction by direct person-to-person contact without the aid of material or mechanical devices that are accomplished with limited force and that are designed to prevent a student from completing an act that would result in potential physical harm to himself, herself, or another person or damage to property.

[105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20]

"Momentary periods of physical restriction" are considered physical escorts under the definition provided by the U.S. Department of Education. Physical escort means a temporary touching or holding of the hand, wrist, arm, shoulder, or back for the purpose of inducing a student who is acting out to walk to a safe location. [U.S. Dept. of Ed. Restraint and Seclusion: Resource Document, pg. 10]\*

\*A physical escort that meets the U.S. Department of Education definition of physical escort is not considered a physical restraint.

# Question C-12: If a student must go to a time out setting, are there specific credentials or training requirements for staff who supervises the student?

Answer:

Yes. There are specific directives for staff training pertaining to time outs and isolated time outs. See 23 IAC 1.285(i) and the responses to the questions in Section E for further details on required training.

# **D. Physical Restraints**

# Question D-1: When can a physical restraint be used?

**Answer:** 

The use of physical restraint may only be used when:

- 1) A student poses a physical risk to himself, herself, or others;
- 2) Other less restrictive and intrusive measures have been tried and have proven to be ineffective in stopping the imminent danger of serious physical harm;
- 3) There is no medical contraindication to its use; and
- 4) The staff applying the restraint have been trained in its safe application and under 23 IAC 1.285(i), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20.

Physical restraint is not be permitted if any of the four criteria above are not met.

See Question A-3 for the definition of momentary physical restriction.

# Question D-2: How should physical restraints be conducted in a safe manner? When must a physical restraint end?

Answer:

Physical restraint shall not impair a student's ability to breathe or communicate normally, obstruct a student's airway, or interfere with a student's ability to speak. [23 IAC 1.285(d)(1)] A physical restraint consists of planned holds or techniques to prevent a student's movement (e.g., immobilization of the head, arms, legs, and/or torso), which is only allowable in the event he or she presents an imminent danger of serious physical harm to self or others.

In the event that the student indicates the inability to breathe, staff supervising the student recognizes the student may be in respiratory distress, or when the threat of imminent danger of serious physical harm ends, the physical restraint must end immediately. [23 IAC 1.285(d)(1)]

# Question D-3: When should physical restraint NOT be used?

# **Answer:**

Physical restraint shall not be used as discipline or punishment, convenience for staff, retaliation, a substitute for appropriate educational or behavioral support, a routine safety matter, or to prevent property damage in the absence of imminent danger of serious physical harm to the student or others. [23 IAC 1.285]

Students shall not be subjected to physical restraint for using profanity or other verbal displays of disrespect for themselves or others. A verbal threat shall not be considered as constituting a physical danger unless a student also demonstrates a means of or intent to immediately carry out the threat. [23 IAC 1.285(d)(6)]

In addition, physical restraint shall **not be** used if any of the four criteria below are not met:

- 1) A student poses a physical risk to himself, herself, or others;
- 2) Other less restrictive and intrusive measures have been tried and have proven to be ineffective in stopping the imminent danger of serious physical harm;
- 3) There is no medical contraindication to its use; and
- 4) The staff applying the restraint have been trained in its safe application and under 23 IAC 1.285(i), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20.

# Question D-4: What are the requirements for school personnel who participate in the use of physical restraint?

# Answer:

An individual who applies physical restraint shall use only the techniques he or she learned during the required annual training and for which there is written evidence of participation, according to 23 IAC 1.285(i)(3).

Staff involved in physically restraining a student must periodically halt the restraint to evaluate if the imminent danger of serious physical harm continues to exist. If the imminent danger of serious physical harm continues to exist, staff may continue to use physical restraint and the continued use may not be considered a separate instance of physical restraint. [23 IAC 1.285(d)(2)] Under such circumstances, only one report form must be provided to the parents and ISBE.

Staff members who have not been trained in the application of physical restraint may not physically restrain a student but may employ momentary physical restriction (e.g., restraining a student to prevent him or her from bolting into traffic, moving the student away from a violent situation, preventing the student from serious self-injury). Only staff who have completed required annual in-person training component as specified in 23 IAC 1.285 (i)(1) and in student-specific techniques (when specified in IEPs or planning around behavior, safety, or crisis) are permitted to use physical restraint on a student as a specific, planned technique.

See the responses in <u>Section E</u> for training requirements. See the responses to <u>Questions F-3 to F-6</u> and <u>F-8 to F-10</u> for documentation requirements.

# Question D-5: What communication factors must be considered when a student who is deaf or hard of hearing or who uses a high- or low-tech augmentative communication device is physically restrained?

### Answer:

If physical restraint is imposed upon a student whose primary mode of communication is sign language or an augmentative mode, the student shall be permitted to have hands free of restraint for brief periods, unless the supervising adult determines that this freedom appears likely to result in harm to the student or others. [23 IAC 1.285(d)(3)]

In such a case, as soon as it is safely possible, the student must be returned to positioning that allows him or her to engage in receptive and expressive communication with the adults involved in the restraint. Staff who restrain the student must also ensure that his or her appropriate, usual mode of communication (e.g., Picture Exchange Communication System, picture symbols, digitized devices, etc.) is readily available during the incident of physical restraint and must also understand their legal obligation to be able to communicate effectively with the student (e.g., be able to converse in the student's language or mode of communication).

# Question D-6: What are the parameters for use of safety restraints (e.g., harnesses) on school buses? What measures are appropriate on the school bus if a student poses a safety threat to self or others in that setting?

Answer:

Restrictive equipment on the school bus, such as belts/straps, harnesses, and vests, must never be used as mechanical restraints for the purposes of discipline, punishment, or convenience.

Seat belts are required on small school buses in Illinois, and some districts require them for all buses. If these are required as standard safety features and employed as intended, they will not constitute mechanical restraint.

Use of equipment/devices, such as H-straps, harnesses, or vests, likewise does not constitute improper use of mechanical restraint if the equipment is used for therapeutic or safety purposes as currently agreed upon and documented by a student's IEP team or within a student's plan pursuant to Section 504 of the Rehabilitation Act of 1973. Use of such equipment may be necessary for some students who exhibit low muscle tone or poor posture control, dangerous behaviors such as bolting or climbing over seats or out of bus windows, or the inability to gauge and react appropriately to danger. Parameters for use of such equipment/devices, the need for a bus aide or small bus, and use of physical restraints if the student presents an imminent safety threat to self or others must be documented in the IEP, as well as any associated behavior intervention (BIP), crisis, positioning, and safe mobility plans for the student. Transportation personnel should be included in this planning, be informed of the student's needs, be aware of their responsibilities/roles, and trained not only in general bus safety procedures, but also in student-specific techniques (e.g., communication; avoidance of behavioral, medical, or sensory triggers; de-escalation; repositioning if positioning shifts during transport; etc.).

The Illinois Administrative Code addresses the transportation of students with IEPs at 23 IAC 226.750. In addition, ISBE provides a variety of resources related to school transportation safety:

- A. Pupil Transportation Frequently Asked Questions
- B. <u>Transportation for Students with Disabilities and Special Health Care Needs</u>
- C. Administrator's Manual for Safe Transportation of Pupils

The National Highway Safety Administration also provides a number of <u>resources</u> that address the safety of students while using school transportation.

Many school districts and other serving entities contract with bus companies for the provision of transportation services. If a student is subject to physical restraint during transportation as a specific, planned technique, the school, district, or cooperative staff who implemented the restraint is ultimately responsible for completing and submitting required documentation pursuant to <a href="mailto:23 IAC 1.285(h)(1)">23 IAC 1.285(h)(1)</a> to ISBE and the parent.

# Question D-7: Are weighted vests, wraps, blankets, or handheld beanbags/lightweight sandbags considered to be physical restraint or restrictive interventions?

# Answer:

No. Tactile and sensory aids that are used under the prescription, direction, and student-specific training of a licensed professional (e.g., physical or occupational therapist) are not considered to be physical restraint or restrictive interventions. Weighted materials must never be used, however, as a mechanical restraint for the purposes of discipline, punishment, or convenience.

# Question D-8: Is the use of blocking pads allowed?

# Answer:

The regulations do not restrict the ability to use blocking pads as are utilized in clinical and therapeutic environments as a means of preventing a student from harming themselves or others, nor would use of such blocking pads be considered time out or physical restraint so long as the student's egress or freedom of movement is not restricted.

If weighted items or blocking pads are used, staff must receive training on the appropriate use and potential dangers of weighted items or blocking pads.

If the blocking pads are used to restrict a student's movement, this would be considered a mechanical restraint and is prohibited.

# Question D-9: If a student is careless or intentionally aggressive with or has difficulty controlling a wheelchair, rollator walker, forearm crutch/cane, or other mobility device, is it considered physical restraint if the device is prevented from moving or temporarily taken away?

# Answer:

It depends on the specific circumstances of the incident. In some cases, the student's movement may be restricted by removing or disabling his or her means of mobility and would be subject to the same regulations governing the application

of other forms of physical restraints. In such cases, inhibiting the student's mobility may only be appropriate in the event of an imminent safety threat and only applied for as long as necessary to ensure that a safety threat no longer exists. In other cases, it may be considered a momentary physical restriction to prevent a student from completing an act that would result in potential physical harm to self or another person.

# Question D-10: Is the use of prone physical restraint allowed in Illinois?

### **Answer:**

Prone physical restraint is allowed only through the 2021-22 school year, and only if certain additional criteria are met. Prone physical restraint is prohibited unless <u>all</u> the following criteria are met, per <u>23 IAC 1.285(d)(4)</u>, <u>105 ILCS 5/10-20.33</u>, and <u>105 ILCS 5/34-18.20</u>:

- A) The student attends a special education nonpublic facility;
- B) The student's Behavioral Intervention Plan specifically allows for prone restraint of the student;
- C) The Behavioral Intervention Plan was put into place before January 1, 2021;
- D) The student's Behavioral Intervention Plan has been approved by the IEP team;
- E) The school staff member or staff members applying the use of prone restraint on a student have been trained in its safe application under this Section;
- F) The special education nonpublic facility must be able to document and demonstrate to the IEP team that the use of other de-escalation techniques provided for in the student's Behavioral Intervention Plan were ineffective; and
- G) The use of prone restraint occurs in the 2021-22 school year.

# Question D-11: Is the use of supine physical restraint allowed in Illinois?

**Answer:** Supine physical restraint is prohibited, unless all of the following criteria are met:

- A) Before using a supine physical restraint, the school district or other entity serving the student shall review and determine if there are any known medical or psychological limitations that contraindicate the use of a prone or supine physical restraint.
- B) The school district or other entity serving the student deems the situation an emergency, defined as a situation in which immediate intervention is needed to protect a student or other individual from imminent danger of serious physical harm to the student, or others and less restrictive and intrusive interventions have been tried and proven ineffective in stopping the imminent danger.
- C) Supine physical restraint is used in a manner that does not restrict or impair a student's ability to breathe or communicate normally, apply pressure to a student's neck, obstruct a student's airway, or interfere with a student's primary mode of communication.
- D) Supine physical restraint is used only by personnel who have completed required training under 23 IAC 1.285(i).
- E) Supine physical restraint is used only if those interventions are the least restrictive and intrusive interventions to address the emergency and stop the imminent danger of serious physical harm to the student or others. During each incident, one school staff person trained in identifying the signs of distress must be assigned to observe and monitor the student during the entire incident. That staff person may not be involved in the physical holding of the student. The number of staff involved in physically restraining the student may not exceed the number necessary to safely hold the student. Staff involved in the restraint must use the least amount of force and the fewest points of contact necessary and must afford the student maximum freedom of movement while maintaining safety.
- F) The supine physical restraint ends immediately when the threat of imminent danger of serious physical harm ends, but in no event shall prone or supine physical restraint last longer than 30 minutes. If, after 30 minutes, the emergency has not resolved or if an additional emergency arises the same school day that meets the standards of 23 IAC 1.285(d), a

school administrator, in consultation with a psychologist, social worker, nurse, or behavior specialist, may authorize the continuation of the restraint or an additional prone or supine physical restraint. No restraint may be continued, nor may additional restraints be applied, unless continuation is authorized by a school administrator.

G) If the student is restrained in a supine physical restraint in at least two separate instances within a 30-school day period, the school personnel who initiated, monitored, and supervised the incidents shall initiate a Supine Restraint Review. [23 IAC 1.285(6)]

# Question D-12: What is a Supine Restraint Review and who shall conduct the review?

# Answer:

A Supine Restraint Review is a review of the effectiveness of the procedures used. If the personnel involved in the restraints do not include a psychologist, social worker, nurse, or behavior specialist, at least one of those staff members shall be included in the review.

The Supine Restraint Review must include, but not be limited to:

- i) Conducting or reviewing a functional behavioral analysis, reviewing data, considering the development of additional or revised positive behavioral interventions and supports, considering actions to reduce the use of restrictive procedures, or, if applicable, modifying the student's Individualized Education Program, federal Section 504 Plan, Behavioral Intervention Plan, or other plan of care, as appropriate; and
- ii) Reviewing any known medical or psychological limitations that contraindicate the use of a restrictive procedure, considering whether to prohibit that restrictive procedure, and, if applicable, documenting any prohibitions in the student's Individualized Education Program, federal Section 504 Plan, Behavioral Intervention Plan, or other plan of care. [23 IAC 1.285(d)(6)(G)]

The State Superintendent may request the school district or entity to provide documentation from the Restraint Review."

# Question D-13: How should a school district or serving entity determine if the use of supine physical restraint is medically or psychologically contraindicated?

### Answer:

The decision as it pertains to every student should be made by appropriate school personnel, including at a minimum, a school psychologist or school social worker, a school nurse or other nurse employed by the school district or serving entity, and the parent. In addition, school personnel should review any known medical information, including information provided by non-school medical or psychological professionals.

If a student has an IEP, a school district or serving entity may determine that using a prone or supine restraint is contraindicated due to medical or psychological reasons via the IEP team decision-making process. As a result, the school district or serving entity personnel may rely on this determination of the IEP team in decisions regarding the use of prone or supine restraints in specific circumstances.

The review required by 23 IAC 1.285(d)(6)(G) does not require a full IEP team meeting; however, the requirements could be met by conducting an IEP meeting, as appropriate.

# Question D-14: How should a school district or serving entity address a situation in which physical restraint is contraindicated but the student engages in behavior that poses an imminent danger of causing physical harm to self or others?

### Answer:

In addition to other appropriate and available interventions, if any, the school district or serving entity may contact emergency personnel, including, but not limited to, crisis intervention personnel, law enforcement, or other first responders along with the student's parent, to intervene in the situation. The school may also consider a change in placement to a more restrictive setting or an alternative placement.

# E. Required Training

Question E-1: What are the training requirements for an adult who is supervising a student in isolated time out or time out or who is involved in a physical restraint?

Answer:

At least eight hours of developmentally appropriate training annually is required per 23 IAC 1.285(i)(1). Developmentally appropriate training is training that takes into consideration children's age, grade level, and disabilities.

Training is required in the following areas:

- A) Crisis de-escalation,
- B) Restorative practices,
- C) Identifying signs of distress during physical restraint and time out,
- D) Trauma-informed practices, and
- E) Behavior management practices. [23 IAC 1.285(i)(1)]

Isolated time out, time out, or physical restraint shall be applied only by individuals who have received annual systematic training on less restrictive and intrusive strategies and techniques to reduce the use of isolated time out, time out, and physical restraint. These efforts should be based on best practices and how to safely use time out and physical restraint when alternative strategies and techniques have been tried and proven ineffective. This training must include all the elements described in 23 IAC 1.285(i) and must result in the receipt of a certificate of completion or other written evidence of participation. An individual who applies isolated time out, time out, or physical restraint shall use only techniques in which he or she has received prior annual training, as indicated by written evidence of participation. [23 IAC 1.285(i)(3)] All adults who are trained must be provided a copy of the district's policies on isolated time out, time out, and physical restraint. [23 IAC 1.285(i)(2)] Training for appropriate use of physical restraint must be completed in-person.

See <u>Goals and Benchmarks</u> created by ISBE for additional training resources. See <u>Question E-4</u> for additional information about training formats.

# Question E-2: What are the training requirements for school resource officers who may be involved with an isolated time out, time out, or physical restraint?

### Answer:

"Beginning January 1, 2021, any law enforcement agency that provides a school resource officer under this Section shall provide to the school district a certificate of completion, or approved waiver, issued by the Illinois Law Enforcement Training Standards Board under Section 10.22 of the Illinois Police Training Act indicating that the subject officer has completed the requisite course of instruction in the applicable subject areas within one year of assignment, or has prior experience and training which satisfies this requirement," according to 105 ILCS 5/10-20.68(b). This applies to all school resource officers (SROs), not just those involved in instances of isolated time out, time out, or physical restraint.

Additionally, if any SRO is involved with an isolated time out, time out, or physical restraint that meets the definitions under 23 IAC 1.285 for isolated time out, time out, or physical restraint, the SRO will need to be trained in accordance to the requirement under 23 IAC 1.285(i).

See Questions H-4 and H-5 for further information regarding SROs.

# Question E-3: Is there a grace period for individuals to be trained annually?

# Answer:

No. Training requirements set forth by <u>23 IAC 1.285 (i)</u> specify annual training. An individual who is not recertified in all components of the required training by their annual training date would be considered out of compliance and would need to complete a full training.

# Question E-4: In what format(s) must the above training be provided?

### Answer:

The method of training and the number of staff to be trained are local matters to be determined by the school district or serving entity.

Online training may be utilized for all the required training areas pertaining to time out and isolated time out.

Online training relative to physical restraint is prohibited. Physical restraint training must include in-person, hands-on practice of techniques, ranging from minimal to intensive physical involvement and interventions.

# Question E-5: What are the content requirements for training in the use of isolated time out, time out, and physical restraints?

**Answer:** Training shall include, but need not be limited to:

- The dangers associated with the use of isolated time out, time out, and physical restraint and the need to use interventions that are less restrictive and intrusive to reduce the risk of harm to students;
- ii) Appropriate procedures for preventing the need for isolated time out, time out, or physical restraint, including the de-escalation of problematic behavior, relationship-building, and the use of alternatives to restraint;
- Recognizing and responding appropriately to the antecedent of a student's behavior;
- Recognizing contraindications and other conditions and events that increase risk of death;
- v) A description and identification of dangerous behaviors on the part of students that may indicate the need for isolated time out, time out, or physical restraint and methods for evaluating the risk of harm in individual situations in order to determine whether the use of restraint is warranted;
- vi) The simulated experience of administering and receiving a variety of isolated time out, time out, and physical restraint techniques, ranging from minimal physical involvement to very controlling interventions;
- vii) Instruction regarding the effects of isolated time out, time out, and physical restraint on the person in restraint, isolated time out, or time out, including instruction on monitoring physical signs of distress and obtaining medical assistance;
- viii) Instruction regarding documentation and reporting requirements and investigation of injuries and complaints; and
- ix) Demonstration by participants of proficiency in administering isolated time out, time out, and physical restraint. [23 IAC 1.285(i)(4)(B)]

<u>Note:</u> Online training relative to physical restraint is prohibited. Physical restraint training must include in-person, hands-on practice of techniques, ranging from minimal physical involvement to very controlling interventions.

# Question E-6: Who can provide the required training?

### Answer:

The employer or an external entity may provide the required training with respect to isolated time out, time out, or physical restraint. All persons or entities who provide training must be trained and certified in the:

- i) Effective use of less restrictive and intrusive alternatives to prevent imminent danger of serious physical harm to the student or others; and
- ii) Safe application of isolated time out, time out, and physical restraint when less restrictive and intrusive alternatives have been tried and proven ineffective. [23 IAC 1.285(i)(4)(A)]

An individual may provide training to others in a particular method of time out and physical restraint only if the individual has received written evidence of completing training in those techniques that meet the requirements of this subsection (i) within the preceding one-year period. [23 IAC 1.285(i)(5)]

# F. Required Administrative Policy and Procedures on Use of Isolated Time Out, Time Out, and Physical Restraints

Question F-1: Must each school district and other serving entities develop and maintain a policy that incorporates procedures on the use of time out and physical restraint?

### Answer:

Yes. All districts and other serving entities that use isolated time out, time out, and physical restraint must have a policy for the use of such practices. Per 105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20, the policy must be implemented in accordance with written procedures that include:

- 1) The circumstances under which isolated time out, time out, and physical restraint will be applied;
- 2) A written procedure to be followed by staff;
- 3) Designation of a school official to be informed of such events and maintain documentation required under 23 IAC 1.285;
- 4) The process a district or other entity serving public school students will use

to evaluate any incident that results in an injury to the affected student;

- 5) A description of the district's or other entity's annual review of the use of isolated time out, time out, or physical restraint, which at a minimum shall include:
  - A. The number of incidents involving the use of these interventions;
  - B. The location and duration of each incident;
  - C. Identification of the staff members who were involved;
  - D. Any injuries or property damage that occurred; and
  - E. The timeliness of parental or guardian notification, timelines of agency notification, and administrative review. [23 IAC 1.285(j)]

# Question F-2: Must parents be notified of a district's or other serving entity's policy for the use of isolated time out, time out, or physical restraint?

Answer:

Yes, 23 IAC 1.285(g)(1) requires a district whose policy allows for the use of isolated time out, time out, or physical restraint shall notify parents or guardians to this effect as part of the information distributed annually or upon enrollment pursuant to Sections 105 ILCS 5/10-20.14(a) and 105 ILCS 5/14-8.05(c) of the School Code.

# Question F-3: How should an isolated time out, time out, or physical restraint event be documented?

Answer:

Per 23 IAC 1.285(f)(1), in the form and manner prescribed by the State Superintendent, a written record of each episode of isolated time out, time out, or physical restraint shall be maintained in the student's temporary record in accordance with 105 ILCS 10/ Illinois School Student Records Act.

Each record shall include, but is not limited to, all of the following:

- A. The student's name:
- B. The date of the incident;
- C. The beginning and ending times of the incident;
- D. A description of any relevant events leading up to the incident;
- E. A description of any less restrictive or intrusive alternative measures that were used prior to the implementation of isolated time out, time out, or physical restraint and why those measures were ineffective or deemed inappropriate;
- F. A description of the incident and/or student behavior that resulted in isolated time out, time out, or physical restraint, including the specific

- imminent danger of serious physical harm to the student or others;
- G. For isolated time out, a description of the rationale of why the needs of the student cannot be met by a lesser restrictive intervention and why an adult could not be present in the time out room;
- H. A log of the student's behavior in isolated time out, time out, or during physical restraint, including a description of the restraint techniques used and any other interaction between the student and staff;
- A description of any injuries (whether to students, staff, or others) or property damage;
- J. A description of any planned approach to dealing with the student's behavior in the future, including any de-escalation methods or procedures that may be used to avoid the use of isolated time out, time out, or physical restraint;
- K. A list of the school personnel who participated in the implementation, monitoring, and supervision of isolated time out, time out, or physical restraint;
- L. The date on which parental or guardian notification took place as required by 23 IAC 1.285(g).

<u>ISBE Form 11-01</u> **must** be used to document instances of isolated time, time out, and/or physical restraint. The form can be found on the ISBE <u>Student Care</u> webpage.

# Question F-4: Who should be notified of the documentation and report of the isolated time out, time out, or physical restraint and in what manner?

### Answer:

If a student is subject to isolated time out, time out, or physical restraint, the school must make a reasonable attempt to notify the student's parent or guardian on the same day the event occurred.

The school district or other serving entity must designate an official to receive and maintain these records. [23 IAC 1.285(j)(3)] The designated school official must be notified of the incident as soon as possible, but no later than the end of the school day on which an event occurred. [23 IAC 1.285(f)(2)] The record described below should be completed by the beginning of the school day following the episode of time out or physical restraint.

The school district or other serving entity must send ISBE Form 11-01 to the student's parents or guardians within one business day after any use of isolated time out, time out, or physical restraint. Notification of parents or guardians may

be accomplished by personal service, electronic delivery, or by mailing the documentation within one business day. **Documentation should be completed by the serving entity**. The documentation must include, at a minimum, a copy of ISBE Form 11-01 and the following:

- A) A copy of the standards for when isolated time out, time out, and physical restraint can be used;
- B) Information about the rights of parents, guardians, and students; and
- C) Information about the parent's or guardian's right to file a physical restraint and time out complaint with the State Superintendent of Education, the complaint process, and other information to assist the parent or guardian in navigating the complaint process. [105 ILCS 5/10-20.33(h) or 105 ILCS 5/34-18.20(h)]

The serving entity **must** submit the documentation into the ISBE Student Information System (SIS) no later than two school days after any use of isolated time out, time out, or physical restraint.

A copy of <u>ISBE Form 11-01</u> must be maintained in the student's temporary record for each incident of isolated time out, time out, or physical restraint. [23 IAC 1.285(f)(1)]

# Question F-5: Are there additional documentation requirements if there is an injury during a physical restraint or time out?

# **Answer:**

The regulations require that the documentation of time out or physical restraint must include a description of any injuries to staff, students, or others. [23 IAC 1.285(f)(1)(I)] The current ISBE reporting form includes documentation of a nurse evaluation. A nurse evaluation is not required in every circumstance where time out or physical restraint is used, only when the student, parent/guardian, or a staff member reports an injury to the student or when an injury occurs to a staff member. If a nurse is not available to conduct the evaluation, it may be conducted by an administrator, such as a special education administrator, building principal, or assistant principal.

# Question F-6: Does the documentation of an incident of isolated time out, time out, or physical restraint need to include the position title and names of specific personnel who participated in implementing, monitoring, and supervising the incident?

Answer:

Yes, both the position title and name of each staff member must be listed on <u>ISBE</u> Form 11-01. It is important to document both the position title and names of staff in case there is a need to obtain additional information from those individuals to ensure accurate reporting or to gather data by which to assess the appropriateness of interventions for the specific student, clarify staff training needs, or identify positive staff-student relationships on which to build. Given that multiple staff may have the same job title or there may be a high turnover rate of individuals in specific positions, it is especially important to list the specific staff involved.

When entering an incident into SIS, only position titles will need to be entered.

# Question F-7: When must staff reassess the need for a time out or isolated time out?

Answer:

No less than once every 15 minutes, an adult trained under subsection (i) must assess whether the student has ceased presenting the specific behavior for which the time out was imposed. [23 IAC 1.285(e)(1)]

# Question F-8: When must staff conduct an evaluation for safe continuation of isolated time out or time out? Who can conduct the evaluation?

Answer:

The requirements of  $\underline{23 \text{ IAC } 1.285(f)(3)}$  shall apply whenever an episode of isolated time out or time out exceeds 30 minutes or repeated episodes have occurred during any three-hour period.

- A. A licensed educator or licensed clinical practitioner knowledgeable about the use of isolated time out or time out or trained in the use of physical restraint, as applicable, must evaluate the situation.
- B. The evaluation must consider the appropriateness of continuing the procedure in use, including the student's potential need for medication, nourishment, or use of a restroom, and the need for alternate strategies (e.g., assessment by a mental health crisis team, assistance from police, or transportation by ambulance).
- C. The results of the evaluation must be committed to writing and copies of this documentation must be placed into the student's temporary student record and provided to the official designated under subsection (j)(3). [23 | IAC 1.285(f)(3)]

### Question F-9: When must an evaluation be conducted for a physical restraint? Who can conduct the evaluation for safe continuation?

#### Answer:

The requirements of 23 IAC 1.285(f)(3) shall apply whenever an episode of physical restraint exceeds 15 minutes or repeated episodes have occurred during any three-hour period.

- A. A licensed educator or licensed clinical practitioner knowledgeable about the use of isolated time out or time out or trained in the use of physical restraint, as applicable, must evaluate the situation.
- B. The evaluation must consider the appropriateness of continuing the procedure in use, including the student's potential need for medication, nourishment, or use of a restroom, and the need for alternate strategies (e.g., assessment by a mental health crisis team, assistance from police, or transportation by ambulance).
- C. The results of the evaluation must be committed to writing and copies of this documentation must be placed into the student's temporary student record and provided to the official designated under subsection (j)(3). [23 | IAC 1.285(f)(3)]

See <u>Question D-10</u> for requirements specific to the use of prone physical restraint See <u>Question D-11</u> for requirements specific to the use of supine physical restraint.

## Question F-10: What are the requirements when a student experiences isolated time out, time out, or physical restraint on three days within a 30-day period?

#### Answer:

According to 23 IAC 1.285(f)(4), when a student experiences instances of isolated time out, timeout, or physical restraint on any 3 days within a 30-day period, the school personnel who initiated, monitored, and supervised the incidents shall initiate a review meeting of the effectiveness of the procedures used, review the student's functional behavioral assessment and prepare an individual behavior plan for the student that provides either for continued use of these interventions or for the use of other, specified interventions. The plan created shall be placed into the student's temporary student record.

The school district or other serving entity must invite the student's parents to this review meeting and provide 10 days' notice of its date, time, and location. The notification must also "inform the parents or guardians that the student's potential

need for special education, an alternative program, or, for students already eligible for special education, the student's potential need for a change in program, will be considered and that the results of the review meeting shall be entered into the temporary student record," per 23 IAC 1.285(f)(4)(B).

Question F11: Is there a requirement if student with an IEP experiences isolated time out, time out, or physical restraint on three days within a 30-day period to have a review meeting?

**Answer:** 

Yes, however, if a student has an IEP, an IEP meeting may satisfy the meeting requirement under this subsection (f)(4) and must comply with 23 IAC 226 and 34 CFR Part 300. [23 IAC 1.285(f)(4)(A)]

### G. Parent/Guardian Required Notification and Meetings

## Question G-1: When must a parent/guardian be notified of an event of isolated time out, time out, or physical restraint?

**Answer:** 

A district whose policies allow for the use of isolated time out, time out, or physical restraint must notify parents/guardians of this policy as part of the information distributed annually or upon enrollment pursuant to Section 10-20.14 and 14-8.05(c) of the School Code. [23 IAC 1.285(g)(1)]

The school must make a reasonable attempt to notify the student's parent/guardian on the same day the event occurred. [23 IAC 1.285(g)(2)]

Within one business day after an incident of isolated time out, time out, or physical restraint, the school district or other entity serving the student shall send the required <u>ISBE Form 11-01</u> to the student's parent/guardian. [23 IAC 1.285(g)(3)]

### Question G-2: What opportunities do parents have to discuss the incident of physical restraint, time out, or isolated time out with school staff?

Answer:

Per 23 IAC 1.285(g)(4), 105 ILCS 5/10-20.33, and 105 ILCS 5/34-18.20, a student's parent/guardian must be notified as soon as possible but no later than two school days after the event of the right to request a meeting to discuss the incident. If a parent/guardian requests a meeting, it must be held within two school days of the

request. This meeting timeline may be extended at the request of the parent/guardian. The parent/guardian may request that the meeting be convened via telephone or video conference. The meeting must be separate and apart from a student's IEP meeting or a Section 504 meeting.

The meeting must provide an opportunity to discuss the following:

- The events that occurred prior to the incident of isolated time out, time out, or physical restraint and any actions that were taken leading up to the incident;
- (ii) The incident of isolated time out, time out, or physical restraint;
- (iii) The events that occurred or the actions that were taken following the incident of isolated time out; time out; or physical restraint, including whether the student returned to regular school activities, and if not, how the student spent the remainder of the school day. [105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20]

All parties present at the meeting shall have the opportunity to discuss what school personnel could have done differently to avoid the incident of isolated time out, time out, or physical restraint and what alternative courses of action, if any, the school can take to support the student and to avoid the future use of isolated time out, time out, or physical restraint. At no point may a student be excluded from school solely because a meeting has not occurred. [105 ILCS 5/10-20.33] and 105 ILCS 5/34-18.20]

A summary of the meeting and any agreements or conclusions reached during the meeting shall be documented in writing and shall become part of the student's school record. A copy of the documents shall be provided to the student's parent/guardian. [105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20]

If a parent/guardian does not request a meeting within 10 school days after the school has provided the documents to the parent/guardian or if a parent/guardian fails to attend a requested meeting, that fact shall be documented as part of the student's school record. [105 ILCS 5/10-20.33, 105 ILCS 5/34-18.20, and 23 IAC 1.285(g)(4)]

See Question D-12 for more information regarding Supine Restraint Review.

# Question G-3: Who must be present at a meeting, pursuant to 105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20, to discuss an incident of isolated time out, time out, or physical restraint?

**Answer:** 

Meeting participants must include at least one school staff member who was involved in the event, at least one staff member who was not involved in the event, the student's parent/guardian, and the student, if appropriate. [105 ILCS 5/10-20.33 and 105 ILCS 5/34-18.20]

### Question G-4: What should be provided to parents in the event of an isolated time out, time out, and physical restraint?

Answer:

Per <u>105 ILCS 5/10-20.33</u> and <u>105 ILCS 5/34-18.20</u>, within one business day, schools shall provide parents/guardians with the following information after each incident of isolated time out, time out, or physical restraint:

- (1) A copy of the standards for when isolated time out, time out, and physical restraint can be used;
- (2) Information about the rights of parents/guardians and students; and
- (3) Information about the parent/guardian rights to file a complaint with the State Superintendent of Education, the complaint process, and other information to assist the parent/guardian in navigating the complaint process, including procedures for students who are eligible to receive special education services. [23 IAC 1.285(g)(3)]
- (4) A copy of <u>ISBE Form 11-01</u>

The information may be provided in printed form or, upon written request of the parent/guardian, by email. [105 ILCS 5/10-20.33] and 105 ILCS 5/34-18.20]

A Parent/Guardian Bill of Rights will be available on the <u>Student Care</u> webpage on July 1, 2022.

See Questions H-8 to H-10 for more information about the complaint process.

# Question G-5: What must be provided to parents/guardians when a student experiences isolated time out, time out, or physical restraint on three days within a 30-day period?

Answer:

According to 23 IAC 1.285(f)(4), when a student experiences instances of isolated time out, timeout, or physical restraint on any three days within a 30-day period, the school personnel who initiated, monitored, and supervised the incidents shall initiate a review meeting of the effectiveness of the procedures used, review the

student's functional behavior assessment, and prepare an individual behavior plan for the student that provides either for continued use of these interventions or for the use of the other, specified interventions. The plan shall be placed into the student's temporary student record. The review meeting shall also consider the student's potential need for an alternative program, for special education eligibility, or, for a student already eligible for special education, for a change in program.

- A) The district or other entity serving the student shall invite the student's parents or guardians to participate in this review meeting and shall provide ten days' notice of its date, time, and location. If a student has an individualized education program (IEP), an IEP meeting may satisfy the meeting requirement under this subsection (f)(4) and must comply with 23 IAC 226 and 34 CFR Part 300.
- B) The notification shall inform the parents or guardians that the student's potential need for special education, an alternative program, or, for students already eligible for special education, the student's potential need for a change in program, will be considered and that the results of the review meeting will be entered into the temporary student record.

### **H. Additional Questions of Importance**

Question H-1: Do the regulations also apply to special education cooperatives and ISBEapproved nonpublic special education and/or out-of-state facilities?

**Answer:** 

Yes. The rules also apply to special education cooperatives and ISBE approved nonpublic special education and/or out-of-state facilities that serve students with disabilities pursuant to 23 IAC Part 401.

Question H-2: If a student is verbally abusive, disrespectful, disruptive, or intimidating to adults and/or peers, is isolated time out, time out, or physical restraint appropriate?

Answer:

No. These interventions are only permitted if a student's behavior poses an imminent threat of serious physical harm to the student or others. If the student's verbal behavior appears threatening, he or she would need to demonstrate intent or have a means to immediately carry out a threat of harm in order for it to qualify

### Question H-3: If the student is destructive to property, is isolated time out, time out, or physical restraint appropriate?

#### Answer:

It depends on the risk of serious physical harm to the student and/or others. For example, if a student throws furniture or other large objects at or near others, shatters glass in such a way as to present danger to the student or others, or uses chemicals (e.g., spray cannisters) in such a way that is not only damaging to property but acts as a harmful inhalant, restraining the student or moving the student to a separate location might be necessary.

If the student damages property by carving inappropriate words in the desktop with a pen, defaces a classroom poster, or shreds a textbook, isolated time out, time out, or restraint would not be permitted unless there is an **imminent risk of serious physical harm.** 

# Question H-4: What are the roles of crisis intervention personnel, school security or safety officers, and first responders in situations involving the use of isolated time out, time out, or physical restraint?

#### Answer:

The Illinois School Code provides information on the roles of school resource or safety officers at 105 ILCS 5/10-20.68. In the event of imminent danger to a student or others or when an incident has already occurred, first responder agencies (including, but not limited to, crisis intervention personnel, law enforcement, or other first responders) might become involved. Some school security officers and first responders receive training in-house or via disability-focused organizations in strategies for working with individuals with specific needs during crisis situations (e.g., communicating with individuals who are deaf or hard of hearing, calming students with sensory processing disorders, etc.).

Since involving personnel unfamiliar with an individual student's needs might escalate the crisis or cause other unintended consequences, school districts and other serving entities might also consider collaborating with local first responders and security personnel (including, but not limited to, crisis intervention personnel, law enforcement, or other first responders) on safety, evacuation, and behavioral crisis planning for individual students, subject to applicable federal and state laws regarding records and student confidentiality.

"Beginning January 1, 2021, any law enforcement agency that provides a school resource officer under this Section shall provide to the school district a certificate of completion, or approved waiver, issued by the Illinois Law Enforcement Training Standards Board under Section 10.22 of the Illinois Police Training Act indicating that the subject officer has completed the requisite course of instruction in the applicable subject areas within one year of assignment, or has prior experience and training which satisfies this requirement," according to 105 ILCS 5/10-20.68(b). This applies to all SROs, not just those involved in instances of isolated time out, time out, or physical restraint.

SROs must also be trained under 23 IAC 1.285(i) if they will be involved with isolated time out, time out, or physical restraint.

See responses to <u>Section E</u> for training requirements.

### Question H-5: When is documentation needed when a student is restrained by a school resource officer?

#### Answer:

If the restraint meets the definition under 23 IAC 1.285 for physical restraint, ISBE Form 11-01 will need to be completed and placed in the student's temporary record. The incident will also need to be reported in SIS within the time parameters detailed in 23 IAC 1.285.

# Question H-6: If a student is likely to pose a safety risk to self or others while in settings outside the regular learning environment, how can school staff plan appropriately?

#### **Answer:**

The rules for isolated time out, time out, and physical restraint apply to all school activities. If a student poses a risk to self or others outside the regular school setting, school districts and other serving entities should consider the regulations and determine whether someone trained in accordance with the regulations should be present.

Students with disabilities have the right, per 34 CFR 300.117, to participate in the same activities as their nondisabled peers unless otherwise individually determined by a student's IEP or Section 504 team. Unless a student's IEP or Section 504 team has properly determined that a student cannot safely participate

in an activity (even with the provision of appropriate supports), the IEP or Section 504 team should develop plans for those involved in providing transportation or planning and supervising field trips, school events, and extracurricular activities.

Detailed procedures to address a student's behaviors, specific to that location and scenario, should be developed and agreed upon by the IEP or Section 504 team in advance if it is determined that the student can participate safely with appropriate supports. Procedures might include who will be informed of the student's needs per the Family Educational Rights and Privacy Act and other applicable confidentiality protections, what alternative strategies will be attempted before or in lieu of time out or physical restraint, and who among those present in various settings will be trained to implement alternate strategies or time out or physical restraint, if required under the circumstances.

### Question H-7: What are the requirements if a school district or other serving entity wants to implement a new physical restraint model?

**Answer:** 

Any physical restraint model used by a school district or other serving entity must adhere to 23 IAC 1.285 and 105 ILCS 5/10-20.33 or 105 ILCS 5/34-18.20. Appropriate restraint models should not allow supine and/or prone restraint unless criteria at 23 IAC 1.285(d)(5)-(6) are met. The model must follow training requirements per 23 IAC 1.285(i).

Question H-8: If a parent, staff member, or other stakeholder has a concern about the use of isolated time out, time out, or physical restraint in a school district or other serving entity, to whom should the complaint be directed?

Answer:

The complaint should be directed to the State Superintendent using ISBE <u>Form 11-01B</u>. The form may be submitted to <u>restrainttimeout@isbe.net</u>.

### Question H-9: Who may file a complaint about isolated time out, time out, or physical restraint?

Answer: According to 23 IAC 1.285(k)(1):

 Any parent or guardian, individual, organization, or advocate may file a signed, written complaint with the State Superintendent alleging that a local school district or other entity serving the student has violated this Section. The complaint shall include all of the following:

- A) The facts on which the complaint is based;
- B) The signature and contact information for the complainant;
- C) If known, the names and addresses of the students involved and the name of the school of attendance;
- D) A description of the nature of the problem, including any facts relating to the problem; and
- E) A proposed resolution of the problem to the extent known.

### Question H-10: How will a complaint be processed?

### **Answer:** Per 23 IAC 1.285(k)(2)-(6):

- 2) The State Superintendent shall only consider a complaint if it alleges a violation occurring not more than one year prior to the date in which the complaint is received.
- 3) After receiving a complaint that meets the requirements of this subsection (k), the State Superintendent shall:
  - A) Carry out an independent investigation, including, but not limited to, an on-site investigation, if deemed necessary by the State Superintendent;
  - B) Give the complainant the opportunity to submit additional information, either orally or in writing, about the allegations in the complaint; and
  - C) Require that the public entity that is the subject of the complaint submit a written response to the complaint. The public entity shall submit its response and all other documentation to the State Superintendent and the parent, individual, or organization filing the complaint no later than the date indicated in the written correspondence received under this subsection (k), except as prohibited under the Freedom of Information Act [5 ILCS 140].
- 4) The State Superintendent must issue a written decision to the complainant that addresses each allegation in the complaint and that contains all of the following:
  - A) Findings of fact and conclusion;
  - B) The reasons for the State Board of Education's final decision; and
  - C) Orders for any action, including technical assistance.
- 5) If the State Board receives information, from school data reporting or any

other source, alleging or indicating that a school district or other entity serving a student has violated this Section, the State Board shall have the authority to commence an investigation under subsection (k)(3) and issue a written decision as to the allegations or indications under subsection (k)(4). An individual, organization, or other entity providing such information to the State Board shall be able to do so confidentially.

6) The complaint procedure under this subsection (k) does not limit, diminish, or otherwise deny the federal and state rights and procedural safeguards afforded to students.

Depending on the nature and severity of the concern, the following may also be appropriate sources of assistance:

- Possible Health/Life Safety Violations
  - County Health Departments For concerns about health or sanitary conditions
  - o <u>Regional Offices of Education</u> For concerns related to the school building or room for time out, training for bus personnel, etc.
- Possible Civil Rights Violations
  - o U.S. Department of Education Office for Civil Rights (OCR)
    - OCR Complaints information
       Contact: (800) 421-3481 (TDD 1-800-877-8339)
- Possible Violations of Special Education Rules and Regulations
  - Illinois State Board of Education Special Education Department,
     Dispute Resolution
- Possible Abuse or Neglect of a Student
  - o <u>Illinois Department of Children and Family Services</u> For concerns about abuse or neglect
    - Hotline: 800-25-ABUSE (22873)
  - o Illinois State Board of Education, Educator Misconduct Reporting
  - o Local Police Departments

### I. Restraint and Time Out Reduction Plans

Question I-1: What entities are required to create a physical restraint, isolated time out and time out oversight team and create a Restraint and Time Out (RTO) Reduction Plan?

**Answer:** Only school districts are required to submit an RTO Reduction Plan per <u>23 IAC</u>

<u>1.285(I)(1)(B)</u> and <u>105 ILCS 5/2-3.130(e)</u>. Submission of a school district plan will be regarded as including individual school needs. Special education cooperatives and nonpublic special education facilities *may* submit a plan but are *not* required to do so.

Question I-2: Does the district need to include students who are placed in special education cooperatives and nonpublic special education facilities?

**Answer:** No, the district only needs to include students who are physically served by the

district, including all general education and special education students.

#### Question I-3: Are all school districts required to submit a plan?

Answer:

Yes, all school districts must submit a plan unless the district qualifies for an exemption under 105 ILCS 5/2-3.130(f). School districts can apply for an exemption from creating an RTO oversight team and submitting an RTO Reduction Plan if the school district meets all these criteria:

- The school district has not had an instance of RTO in the last three years;
- 2. The school district has adopted a written policy that prohibits the use of RTO; and
- 3. The school district can demonstrate the enforcement of that policy

An exemption form will be available on the Student Care webpage by May 1, 2022.

### Question I-4: Per 105 ILCS 5/2-3.130(e), what required information must be contained in an RTO Reduction Plan?

Answer:

The required plans must include, but not be limited to, the specific actions that are to be taken to reduce the use of isolated time out, time out, and physical restraint and support a vision for cultural change.

In accordance with the <u>ISBE goals and benchmarks</u>, the plan must reduce the overall number of instances of RTO and reduce the number of students subjected to the use of RTO.

Please refer to the <u>RTO Reduction Plan Checklist</u> for the detailed requirements as specified at 105 ILCS 5/2-3.130(e).

## Question I-5: Must a school district still create and submit an RTO Reduction Plan if it does not have students who have 5-plus instances of RTO in a 30-day period?

Answer:

Yes. All school districts are required to submit an RTO Reduction Plan per 105 ILCS 5/2-3.130(e). A school district will only be exempt from creating an RTO Reduction Plan if it meets the exemption requirements in 105 ILCS 5/2-3.130, which also are detailed in the answer to Question I-3.

### Question I-6: Per 105 ILCS 5/2-3.130(e), who must be on the RTO oversight team that is responsible for creating the RTO Reduction Plan?

**Answer:** 

The RTO oversight team that will create the RTO Reduction Plan must include, but is not limited to, teachers, paraprofessionals, school service personnel, and administrators.

#### Question I-7: What is the suggested process for RTO Reduction Plan development?

**Answer:** Please refer to page 2 of the <u>RTO Reduction Plan Checklist and Template</u>.

#### Question I-8: What is the date that school districts must submit their RTO Reduction Plan?

Answer:

School districts must submit their plans to <a href="mailto:rtoreductionplan@isbe.net">rtoreductionplan@isbe.net</a> by July 1, 2022. [105 ILCS 5/2-3.130(e)] Additional resources will be made available on the <a href="mailto:Student Care">Student Care</a> webpage.

### J. References and Resources

#### **Federal References**

U.S. Department of Education (2012)

Restraint and Seclusion: Resource Document

U.S. Department of Education (2019)

Illinois Compilation of School Discipline Law and Regulations

U. S. Department of Education (2019)

Parent and Educator Guide to School Climate Resources

U. S. Department of Education (2016)

Fact Sheet: Restraint and Seclusion of Students with Disabilities

U. S. Department of Education (2016)

Dear Colleague Letter: Restraint and Seclusion of Students with Disabilities

U. S. Government Accountability Office (2019)

<u>K-12 Education: Education Should Take Immediate Action to Address Inaccuracies in Federal</u> Restraint and Seclusion Data.

### **Federal Resources**

U.S. Department of Education (2020)

Students with Disabilities and the Use of Restraint and Seclusion in K-12 Public Schools

U. S. Senate. Health, Education, Labor, and Pensions Committee (2014)

Dangerous Use of Seclusion and Restraints in Schools Remains Widespread and Difficult to

Remedy: A Review of Ten Cases

National Center on Safe Supportive Learning Environments

U. S. House of Representatives (2010)

Preventing Harmful Restraint and Seclusion in Schools Act

### **National Resource**

Positive Behavioral Intervention Supports

#### Illinois References

23 Illinois Administrative Code 1.280

23 Illinois Administrative Code 1.285

23 Illinois Administrative Code Part 180

23 Illinois Administrative Code 226.750

23 Illinois Administrative Code Part 401

105 Illinois Compiled Statutes 5/10-20.14

105 Illinois Compiled Statutes 5/10-20.33

105 Illinois Compiled Statutes 5/10-20.68

105 Illinois Compiled Statutes 5/14-8.05

105 Illinois Compiled Statues 5/21B-75

105 Illinois Compiled Statues 5/24-24

105 Illinois Compiled Statutes 5/34-18.20

### **Illinois Resources**

Illinois State Board of Education Student Care Department

Illinois State Board of Education Department of Health and Safety

Illinois State Board of Education – Social and Emotional Learning

Illinois State Board of Education – Regional Offices of Education

Illinois Department of Public Health

Illinois Department of Children and Family Services

Illinois State Board of Education - Pupil Transportation FAQ

Illinois State Board of Education – Transportation for Students with Disabilities and Special

**Health Needs** 

Illinois State Board of Education - Administrator's Manual for School Bus Transportation and

**Resources** 

### K. Glossary

Word or Term	Acronym	Definition
Behavioral	BIP	A Behavioral Intervention Plan is a written plan developed as part of an IEP or Section 504 Plan to
Intervention Plan		address behavior exhibited by a student that
		impedes learning either for the student or others.
		A BIP includes the findings of a Functional
		Behavioral Assessment of the student's behavior, a summary of prior interventions attempted and
		whether or not they were successful (examples
		include environmental changes, curriculum changes, peer-based support, and teaching
		strategies), a description of any behavioral
		interventions to be used (including those aimed at developing or strengthening alternative or more
		appropriate behaviors), an identification of the
		measurable behavioral changes expected and the
		methods of evaluation, a schedule for review of the interventions' effectiveness, and provisions
		for communicating with the parents about their
		child's behavior and coordinating school-based and home-based interventions.
		A BIP should include specific strategies to teach the student to replace the targeted behavior with
		appropriate behavior and interventions designed
		to address the targeted behavior.
Behavior		Behavior Management Practices are used to
Management		develop or strengthen alternative or more
Practices		appropriate behaviors (including assisting students in learning to regulate their own
		behavior) and to prevent behaviors from
		occurring that impede learning for the student and/or others.
		Behavior management practices could include
		behavioral interventions that are based on the
		methods and empirical findings of behavioral science and designed to influence a child's
		actions or behaviors positively.

De-escalation		De-escalation is the reduction of problematic
		behavior or mitigation of a precarious situation
		with verbal and/or nonverbal behavioral
		intervention strategies to prevent the need for
		isolated time out, time out, or physical restraint.
Functional	FBA	A Functional Behavioral Assessment is part of a
Behavioral		process used for gathering information regarding
Assessment		the target behavior, its antecedents and
		consequences, controlling variables, the student's
		strengths, and the communicative and functional intent of the behavior for use in developing
		behavioral interventions. The assessment also
		includes observation across settings, interviews,
		and comprehensive data collection to identify
		patterns regarding when, where, and why the
		behavior is occurring.
Individualized	IEP	The IEP is a written educational plan for a child
Education		with a disability that is developed, reviewed,
Program		and revised in accordance with the
		requirements of federal and state law and
		regulations. The IEP sets forth a district's offer
		of a free and appropriate public education for a student with a disability based on the
		student's individual needs.
		stadent's marviadar needs.
		The IEP must include a description of the
		student's present levels of academic
		achievement and functional performance, as
		well as a description of how the student's
		disability impacts the student's participation
		and progress in the general education setting.
		The IEP must identify special education and
		related services with measurable goals and
		objectives to be attained during a calendar
		year, along with a description of how the
		student's progress in meeting those goals will
		be measured during a calendar year.

Doctorative	Postorativo practicos focus en renairing harm dena
Restorative Practices	Restorative practices focus on repairing harm done to relationships instead of assigning blame and dispensing punishment. Restorative practices refer to a range of methods and strategies that can be used to prevent relationship-damaging incidents from occurring and to resolve them if they do occur.
Section 504 Plan	A Section 504 Plan describes the supports a district will provide to a student with a disability to ensure the student's right to full access and participation in all school-related activities. A 504 Plan ensures that a student's disability will not pose a barrier to the student's access to school programs, curricula, and related activities.
Sensory Break	A sensory break is a designated portion of time that may allow a child to de-escalate from the sensory stimulation of a classroom or other school setting to support the child's focus, attention, and learning.
Serious Physical Harm	Serious physical harm indicates pain or injury.  Serious physical harm is not synonymous with "serious bodily injury" for the purposes of placing students with disabilities in an interim alternative educational setting. Serious bodily injury is defined as a bodily injury that involves a substantial risk of death, extreme physical pain, prolonged and obvious disfigurement, or prolonged loss or impairment of the function of a bodily member, organ, or mental faculty.
Trauma-informed Practices	Trauma-informed practices involve understanding, recognizing, and responding to the effects of trauma. Such practices are directed by a detailed understanding of how trauma can shape an individual's perceptions and behavior.  Trauma-informed practices consider the nature and manifestations of trauma and also emphasize the physical, psychological, and emotional safety of both students/patients and school personnel/providers.